

KEG/2814

PTO/SB/30 (08-00)

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**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

| | |
|------------------------|-------------------------|
| Application Number | 09/437,135 |
| Filing Date | November 10, 1999 |
| First Named Inventor | Shunpei YAMAZAKI et al. |
| Group Art Unit | 2813 |
| Examiner Name | Erik J. Kielin |
| Attorney Docket Number | 740756-2064 |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. **NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

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| 1. Submission required under 37 C.F.R. § 1.114 | |
| a. | <input checked="" type="checkbox"/> Previously submitted <ul style="list-style-type: none"> i. <input checked="" type="checkbox"/> Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on <u>March 18, 2002</u> (Any unentered amendment(s) referred to above will be entered). ii. <input type="checkbox"/> Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____ iii. <input type="checkbox"/> Other _____ |
| b. | <input type="checkbox"/> Enclosed <ul style="list-style-type: none"> i. <input type="checkbox"/> Amendment/Reply ii. <input type="checkbox"/> Affidavit(s)/Declaration(s) iii. <input type="checkbox"/> Information Disclosure Statement (IDS) iv. <input type="checkbox"/> Other _____ |
| 2. | Miscellaneous |
| a. | <input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required) |
| b. | <input type="checkbox"/> Other _____ |
| 3. | Fees |
| a. | <input checked="" type="checkbox"/> The Director is hereby authorized to charge the following fee deficiencies, or credit any overpayments, to Deposit Account No. <u>19-2380</u> <ul style="list-style-type: none"> i. <input checked="" type="checkbox"/> RCE fee required under 37 C.F.R. § 1.17(e) ii. <input type="checkbox"/> Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) iii. <input type="checkbox"/> Other _____ |
| b. | <input checked="" type="checkbox"/> Check in the amount of \$ <u>740.00</u> enclosed |
| c. | <input type="checkbox"/> Payment by credit card (Form PTO-2038 enclosed) |

| | | | |
|---|---|--------------|--|
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED | | | |
| Name (Print/Type) | Jeffrey L. Costellia | | |
| Signature |  | | |
| | Registration No. (Attorney/Agent) | 35,483 | |
| | Date | May 20, 2002 | |

CERTIFICATE OF MAILING OR TRANSMISSION

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